



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Gray, et al.

Attorney Docket No.: UCOTP002X1US

Patent No.: 7,049,424

Issued: May 23, 2006

Title: GENES FROM THE 20Q13 AMPLICON  
AND THEIR USES

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on July 29, 2009 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Signed: \_\_\_\_\_

Swapnali Joshi

**PETITION FOR CORRECTION OF ASSIGNEE ON PATENT AND FOR  
SUSPENSION OF RULES  
(MPEP § 1481, 37 C.F.R. § 1.183)**

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

Applicant petitions under 37 C.F.R. § 1.183 that 37 C.F.R. 3.81(a) be waived to permit the correct name of the assignee to be provided after issuance of the patent. The mistake occurred because the second Assignee, The Hospital for Sick Children, was inadvertently omitted from the Issue Fee Transmittal filed on January 19, 2006 in this case. An Assignment to The Hospital for Sick Children was recorded in the U.S. Patent and Trademark Office on April 15, 1997 at Reel 008462, Frame 0026. Accordingly, this Assignment was clearly submitted for recordation before issuance of the above patent on May 23, 2006. Enclosed are the Request for Certificate of Correction of Applicant's Mistake and a copy of the Notice of Recordation of Assignment Document.

The Commissioner is hereby authorized to charge the amount of \$130.00 for the Petition Fee in accordance with 37 CFR § 1.17(h) and any other required fee for this correction, to Deposit Account 504480 (Order No. UCOTP002X1US).

08/04/2009 CCHAU2 00000028 504480 7049424  
01 FC:1464 130.00 DA

Respectfully submitted,  
Weaver Austin Villeneuve & Sampson LLP

  
Emily M. Haliday  
Registration No. 38,903

P.O. Box 70250  
Oakland, CA 94612-0250



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inter application of: Gray, et al.

Attorney Docket No.: UCOTP002X1US

Patent No.: 7,049,424

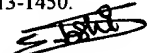
Issued: May 23, 2006

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Signed: \_\_\_\_\_

  
Swapnali Joshi

**REQUEST FOR CERTIFICATE OF CORRECTION  
OF APPLICANT'S MISTAKE REGARDING ASSIGNEE  
(35 U.S.C §255, 37 C.F.R. §1.323, M.P.E.P. § 1481.01)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Attn: Certificate of Correction

Dear Sir:

Attached is Form PTO-1050 (Certificate of Correction) at least one copy of which is suitable for printing.

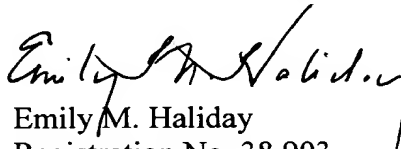
A mistake of a clerical or typographical nature, or minor in character appears in the above-referenced patent; however, the mistake occurred in good faith. The correction introduces no new matter nor requires reexamination. The mistake occurred because the second Assignee, The Hospital for Sick Children, was inadvertently omitted from the Issue Fee Transmittal filed on January 19, 2006 in this case. An Assignment to The Hospital for Sick Children was recorded in the U.S. Patent and Trademark Office on April 15, 1997 at Reel 008462, Frame 0026. Accordingly, this Assignment was clearly submitted for recordation before issuance of the above patent on May 23, 2006.

The error and requested correction are follows:

Face sheet, column 1, Field (73), entitled "Assignee," after "**The Regents of the University of California, Oakland CA (US)**", add --; **The Hospital for Sick Children, Toronto (CA)**--.

The Certificate of Correction fee was paid previously on May 21, 2009. Therefore, Applicant believes that no (additional) Certificate of Correction fee is required; however, if it is determined that such a fee or any other fee is required; the Commissioner is hereby authorized to charge the amount, to Deposit Account 504480 (Order No. UCOTP002X1US).

Respectfully submitted,  
Weaver Austin Villeneuve & Sampson LLP

  
Emily M. Haliday  
Registration No. 38,903

P.O. Box 70250  
Oakland, CA 94612-0250

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,049,424 B2

Page 1 of 1

APPLICATION NO.: : 08/731,499

ISSUE DATE : May 23, 2006

INVENTOR(S) : Gray et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Face sheet, column 1, Field (73), entitled "Assignee," after "**The Regents of the University of California, Oakland CA (US)**", add --; **The Hospital for Sick Children, Toronto (CA)**--.

MAILING ADDRESS OF SENDER:

PATENT No.: 7,049,424 B2**Emily M. Haliday**

WEAVER AUSTIN VILLENEUVE &amp; SAMPSON LLP

P.O. BOX 70250

OAKLAND, CA 94612-0250

No. of Additional Copies: 1

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO. : 7,049,424 B2

Page 1 of 1

APPLICATION NO.: : 08/731,499

ISSUE DATE : May 23, 2006

INVENTOR(S) : Gray et al.

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Face sheet, column 1, Field (73), entitled "Assignee," after "**The Regents of the University of California, Oakland CA (US)**", add --; **The Hospital for Sick Children, Toronto (CA)**--.

## MAILING ADDRESS OF SENDER:

**Emily M. Haliday**  
WEAVER AUSTIN VILLENEUVE & SAMPSON LLP  
P.O. BOX 70250  
OAKLAND, CA 94612-0250

PATENT No.: 7,049,424 B2No. of Additional Copies: 1

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TOWNSEND & TOWNSEND  
& CREW

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TOWNSEND AND TOWNSEND AND CREW LLP  
KEVIN L. BASTIAN  
TWO EMBARCADERO CENTER, 8TH FLOOR  
SAN FRANCISCO, CA 94111-3834



027070-06891045  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

TAH



\*100401816A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/15/1997

REEL/FRAME: 8462/0026  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ROMMENS, JOHANNA

DOC DATE: 04/02/1997

ASSIGNEE:

HOSPITAL FOR SICK CHILDREN, THE  
555 UNIVERSITY AVENUE  
TORONTO, CANADA M5G 1X8

SERIAL NUMBER: 08731499  
PATENT NUMBER:

FILING DATE: 10/16/1996  
ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

04-25-1997

No. 023070-068910/UC Case No. 96-185-2

FORM PTO-1595  
(Rev. 6-93)

100401816

:ET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents, Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Johanna Rommens

## 2. Name and address of receiving party(ies):

Name: The Hospital for Sick Children

Internal Address:

Street Address: 555 University Avenue

City: Toronto State: Canada ZIP: M5G 1X8

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: 4/2/97

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/731,499

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin L. Bastian  
 TOWNSEND and TOWNSEND and CREW LLP  
 Two Embarcadero Center, 8th Floor  
 San Francisco, California 94111-3834  
 (415) 576-0200

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41):..... \$ 40.00

- ☐ Enclosed ☒ Charge Fees to Deposit Account  
☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

## 8. Deposit account number: 20-1430

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin L. Bastian  
 Name of Person Signing

Signature

April 11, 1997  
 Date

Atty. Reg. No. 34,774

Total number of pages including cover sheet, attachments, and document: 3

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

410 JS 20-1430 04/22/97 08731499  
 41026 581 40.00CH

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Do not detach this portion  
 Asst. Commissioner for Patents  
 Box Assignments  
 Washington, D.C. 20231

Attorney Docket No. 023070-068910/UC Case No. 96-185-2

**ASSIGNMENT OF PATENT APPLICATION**

**SOLE**

WHEREAS, Johanna Rommens of 717 Bay Street, #1501, Toronto, Ontario, Canada M5G 2J9, hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **GENES FROM THE 20q13 AMPLICON AND THEIR USES**

Date(s) of execution of Declaration: 1/16/97

Filing date: October 16, 1996 Application No.: 08/731,499; and

WHEREAS, The Hospital for Sick Children, a corporation incorporated under the laws of Ontario, located at 555 University Avenue, Toronto, Canada M5G 1X8, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the



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invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Date: April 2, 1997

Johanna Rommens  
Johanna Rommens

SIGNATURE WITNESSED BY:

(1) Date: April 2/97

L. U. Scherer  
Signature of Witness 1

(2) Date: April 2/97

[Signature]  
Signature of Witness 2

ASSIGN1.MRG 10/96

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